# The dialectic of control: A critical ethnography of renal nurses' decision-making

Thesis submitted by

Mary-Ann Rose HARDCASTLE
MPH & TM, BA (Soc. Sci)

In March 2004

for the degree of Doctor of Philosophy in the School of Nursing Sciences James Cook University

# STATEMENT OF ACCESS

I, the	unde	rsigned	, author of	this	wor	k, under	rstan	d that James	s Cook U	Jniver	sity	will
make	this	thesis	available	for	use	within	the	University	Library	and,	via	the
Austra	lian	Digital	Theses net	wor	k, foi	r use els	ewhe	ere.				

Signature	Date
Copyright Act and, I wish this work to be	embargoed until: February 2005
I understand that, as an unpublished work,	a thesis has significant protection under the
Australian Digital Theses network, for use	elsewhere.

# **ELECTRONIC COPY**

Signature Date
,
thesis submitted, within the limits of technology available.
thesis provided to the James Cook University Library is an accurate copy of the princ
1,
i, the undersigned, the author of this work, declare that the electronic copy of this

## STATEMENT OF SOURCES

Signature

### **DECLARATION**

I declare that this thesis is my own work and has not been submitted in any form for another degree or diploma at any university or other institution of tertiary education. Information derived from the published or unpublished work of others has been acknowledged in the text and a list of references is given.

Date

#### STATEMENT ON THE CONTRIBUTION OF OTHERS

This thesis has been made possible through the support of many people as follows:

#### **Supervisors:**

<u>Primary Supervisor</u>: Associate Professor Kim Usher, School of Nursing Sciences, James Cook University

<u>Secondary Supervisor</u>: Professor Colin Holmes, School of Nursing Sciences, James Cook University

#### Financial assistance:

School of Nursing Sciences Scholarship: \$15,000 per annum

Queensland Nursing Council Scholarship: \$ 5000 (awarded 2002)

#### Editorial assistance:

Mrs Pauline Taylor: Senior secretary/assistant to Head of School, School of Nursing Sciences, James Cook University

#### Peer Reviewers

Mrs Jane Williams: PhD Nursing Student, Nursing Sciences School of Nursing Sciences, James Cook University

<u>Dr. Narelle Biedermann</u>: Lecturer School of Nursing Sciences, James Cook University

Mrs Anne Blong: Clinical Nurse, Renal Unit,
Townsville District Health Services

#### **ACKNOWLEDGEMENTS**

There are a number of people, colleagues and friends, who have contributed in some way in the development and completion of this thesis through their gift of time, advice, encouragement and support.

I am indebted to Professors Kim Usher and Colin Holmes for their patience, careful supervision and encouragement throughout the years of my candidature. This appreciation is also extended to Doctor Irmgard Bauer who contributed in a supervisory role in the first year of candidature.

My sincere appreciation is also extended to the hospital and renal unit where this study was conducted. This was only made possible by the nursing support and participants. The Nurse Managers always had supportive words, especially, during my loneliest times as a researcher, away from my clinical role. This appreciation is extended to the renal nursing staff that acknowledged, and tolerated my presence, in the unit as a researcher investigating daily practice.

I would like to express my deep and sincere appreciation to all the key participants who assisted with the study, unselfishly sharing their time, thoughts and ideas about decision-making, that make up so much of this thesis.

Finally, I would like to thank my family, friends and work colleagues for their unending tolerance, encouragement and support over the years of my candidature, in particular, my parents for their financial assistance, my fellow PhD student, Jane Williams, who shared both the laughter and the tears, renal nurse colleagues Heather Gibbs, Wendy Washington, and Kate Kendell who listened and critiqued my ideas passionately and, Pauline Greenland for her guidance in writing the recommendations. Finally, but not least, my dear partner, John, who spent many a restless night with me and still believed in me.

Thank you.

#### KEY TO TRANSCRIPTS AND FIELD NOTES

In the presentation of the research findings (Chapter 5, 6 and 7), where excerpts from the participants are included, the following abbreviations and font styles have been used:

#### Long quotes:

All the names used within this thesis are pseudonyms (refer to appendix 2 for further information). Pseudonym name, date and paragraph or sentence (#) identifies excerpts from participant interviews.

E.G. I felt that this was not the case but the other nurse did not seem to pay any attention (Julie, 26/10, #16).

#### **Short quotes:**

When a few words, or word, have been applied within a sentence in the main text, this is specified through the use of italics.

E.G. It was not unusual for the nurses to speak *about being* in control and autonomous in their practice as they went about their day.

#### Field notes (FN):

Field notes are signified as FN, and are structured in the same manner, with exception to the font style of italics. Regular font refers to researcher comment. Comments made by nurses that have been captured as fieldnotes are indicated by speech marks and are not verbatim.

E.G. Julie held the cup in her hand and proceeded to the door. [I watched from a distance but close enough to see her facial expression] She listened tentatively to what the doctor was saying but seemed doubtful of the diagnosis as she raised her eyes in an upward motion, later adding that "nothing changes!" (FN, 23/7, # 5).

... Indicates that the researcher has edited the material

#### ABSTRACT

Renal disease in Australia is increasing at an alarming rate. Many of the patients presenting with renal failure are from rural and remote areas where renal and other health care services are minimal. What services are available tend to be predominantly managed by nurses because of the way that renal services are organised in regional areas. Consequently, there is an assumption that renal nurses are autonomous in their practice and accountable for the decisions they make. The purpose of this study was to explore these assumptions within the bounds and context of a regional renal unit. The aim of the study was to increase nurses' awareness about their responsibility when taking on expanded nursing roles in terms of their decision-making ability, and capacity, and what this means in terms of accountability.

Critical ethnography was adopted as the methodology to explore the nature of decision-making in the renal unit context. Particular emphasis was placed on how nurses used their knowledge during daily routine practice. Carspecken's (1996) fivestage method of critical ethnography incorporated periods of prolonged participantobservation, structured and unstructured interviews and documentation review. Concepts from Giddens' (1984) structuration theory provided a theoretical framework that sensitised the researcher to certain 'aspects of nursing practice' to guide data collection and analysis. These, in turn, provided major chapter headings for the thesis: decision-making across time-space encounters (Contextuality), the rules and resources (Social Structures) available for decision-makers and the nurses' ability and skills (Knowledgeability). In addition, Giddens (1984) 'Dialectic of Control' was threaded throughout the finding chapters as a major theme that addressed the nurses' capacity (power and control) to make and implement decisions. Collectively the researcher and participants gained new insights about decisionmaking practices, during reflection and dialogue, one learning from the other. It was assumed that if, and when, decision-making concerns were recognised, the nurses themselves could possibly make changes to their practice with the aim of enhancing patient outcomes.

Time-space played an important factor in controlling nurses' decision-making, but this was often in complex and subtle ways. Encounters across time-space often controlled who made decisions and when. This alternating decision-making behaviour caused conflict and confusion that, at times, undermined some nurses' authority and overall responsibility as decision makers. Even though many nurses spoke about being autonomous decision makers, most unknowingly followed established routines and practices that was not always conducive to best-practice principles. Social structures, the rules and resources, could enable and constrain decision-making within this context. The rules that nurses ascribed to were not always known at a discursive level, therefore, rationale could not always be given for the decisions they made. When rules could be spoken about, not all the nurses followed them. Reasons for breaching unit rules varied such as out-dated rules or policies, limited resources that required 'short-cuts' and, at times, no recognition that rules were being broken. Knowing the rules and prescribing to routine practices provided a sense of safety as the nurses made decisions. This did not necessarily mean that best decisions were being made but gave a presentation that the decisions being made were satisfactory. Knowledgeability about the rules and resources available to nurses, and decision-making encounters across time-space, appeared to be a key feature that enabled the nurses to exercise their dialectic of control. When a nurse had, or perceived to have, control over the decisions they made, this, in turn, facilitated a sense of "being autonomous". Despite this shared perception of being in control, several nurses remained frustrated and constrained by bureaucratic policies and hierarchical structures. However, the nurses, too, could create these constraints, knowingly or unknowingly, as they went about their day.

Recommendations resulting from these findings include that further research is required on certain aspects of decision-making such as the role emotions play when making decisions, how ethical issues embedded in routine practice are recognised, and how risk and uncertainty are acknowledged and then managed. When nurses do not question their decision-making roles, they can become constrained in their decision-making capacity and ability. Without deliberate reflection aspects that control nurses' decision-making may never be exposed, thus changed. The implications of this study are central for both patient outcomes and the professional development of nursing.

# **Table of contents**

THESIS SUBMITTED BY	1
STATEMENT OF ACCESS	IJ
ELECTRONIC COPY	III
STATEMENT OF SOURCES	IV
STATEMENT ON THE CONTRIBUTION OF OTHERS	V
ACKNOWLEDGEMENTS	VI
KEY TO TRANSCRIPTS AND FIELD NOTES	VIJ
ABSTRACT	VIII
CHAPTER ONE: SETTING THE SCENE	1
INTRODUCTION TO THE STUDY	1
Finding the research question	2
Positioning myself as a nurse and researcher	3
DECISION-MAKING IN THE STUDY UNIT	4
RENAL HEALTH CARE IN AUSTRALIA	5
Renal nursing shortages - local and global	6
The birth of nephrology nursing	7
Dialysis in Australia	7
THE ROLE OF RENAL NURSES IN AUSTRALIA	9
Consequences of renal technology – dilemmas and opportunities	
Autonomous practitioners?	
Giddens' concept of power and control	
Standards of practice	13
SIGNIFICANCE OF CLINICAL DECISION-MAKING FOR RENAL NURSES	
THE HIGHS AND LOWS OF CRITICAL RESEARCH APPROACH	
Structuration theory	16
STRUCTURE AND OUTLINE OF THE THESIS	19
CHAPTER TWO: THE DECISION-MAKING LITERATURE	22
Introduction	22
The evolution of nurses' decision-making research	23
THEORETICAL APPROACHES TO DECISION-MAKING	24
Prescriptive and normative decision-making models	25
Descriptive decision-making models	26
Information processing	27
NURSING KNOWLEDGE THAT INFORMS DECISIONS	
Bounded rationality	
Skills acquisition and the role of intuition	
Novice-expert decision-making	
Intuition, analytical or both?	32

Queuing theory	33
Personal performance in decision-making: nature versus nurture?	34
EMOTIONS AND DECISION-MAKING	35
Ethical concerns for nurses making, or not making, decisions	37
THE CONTEXT OF DECISION-MAKING	39
Australian decision-making studies	40
THE HEALTH CARE SYSTEM AS AN ORGANISATION	44
Bureaucracy - a tool of power and control	45
Bureaucracy and power	46
Power and decision-making	48
Professional ideology and control	50
Hegemonic structures at play	53
Nursing's power	54
KNOWLEDGE, POWER AND NURSING	55
COLLABORATIVE DECISION-MAKING	58
Group and team decision-making theory	59
Uncertainty and risk	
Resistance	
Nursing's professional accountability and responsibility in practice	65
FRAMEWORK OF STRUCTURATION	69
Introduction	69
Ethnography	69
Getting 'critical' in ethnography	71
THE DEVELOPMENT OF CRITICAL THEORY	71
Why critical theory?	73
Critical theory's worldview	74
Reflexivity	
Dialectic	75
The double hermeneutic loop - a dialectic approach	
CRITICAL ETHNOGRAPHY	
	77
Conditions associated with critical ethnography	77 78
Structuration theory's 'weakness' as a critical theory	77 78 80
Structuration theory's 'weakness' as a critical theory  STRUCTURATION THEORY - REDEFINING AGENCY AND STRUCTURE	
Structuration theory's 'weakness' as a critical theory  STRUCTURATION THEORY - REDEFINING AGENCY AND STRUCTURE  An eclectic theory	
Structuration theory's 'weakness' as a critical theory  STRUCTURATION THEORY - REDEFINING AGENCY AND STRUCTURE  An eclectic theory  SELECTED STRUCTURATION CONCEPTS USED IN THIS STUDY	
Structuration theory's 'weakness' as a critical theory  STRUCTURATION THEORY - REDEFINING AGENCY AND STRUCTURE  An eclectic theory  SELECTED STRUCTURATION CONCEPTS USED IN THIS STUDY  Contextuality	
Structuration theory's 'weakness' as a critical theory  STRUCTURATION THEORY - REDEFINING AGENCY AND STRUCTURE  An eclectic theory  SELECTED STRUCTURATION CONCEPTS USED IN THIS STUDY	

The dialectic of control	87
CONCLUSION	88
CHAPTER FOUR: THE STUDY'S RESEARCH METHODS	90
Introduction	90
The research setting	90
THE RESEARCH DESIGN AND RIGOUR OF THE STUDY	92
The role of the researcher and participants	95
Insider or outsider?	
Investigator responsiveness	
Appropriate sampling: participant selection	
HOW STRUCTURATION THEORY WAS USED IN THE STUDY	
Stage 1: Building a primary record- the etic perspective	102
Stage 2: Preliminary re-constructive analysis	
Stage 3: Dialogical data generation	103
Triangulation as a research strategy	104
Journaling and self-reflection	104
Individual and group member checking	105
Stages 4 and 5: Conducting system analysis	106
Pulling the loose ends together	107
ETHICAL CONSIDERATIONS	107
Informed consent	108
Anonymity and confidentiality	
CHAPTER FIVE: CONTEXTUALITY	111
AN INTRODUCTION TO CONTEXTUALITY	111
TIME	
LIFE-SPAN TIME: NOVICE TO EXPERT	
Learning the ropes - the novice	
The learning culture- a matter of trial and error	
When in Rome new to the unit	
When the ropes were known - the experts	123
Passing the buck or maintaining the mark?	
Seeking approval	
REVERSIBLE TIME - THE DURÉE OF ACTIVITY AND LONGUE DURÉE OF INSTITUTIONS	128
Routines and social practice	129
Get them on!	131
Decision-making reliance across time	133
Doing much the same	135
DIVIDING AND ALLOCATING TIME	136
Unintentional loss of time control	138

DECIDING TREATMENT TIME	139
Ethical decision-making: awkward decisions	140
Saving nursing time	143
SPACE	144
The nurses' station	146
Front and back regions of decision-making	147
TIME-SPACE AND DECISION-MAKING	148
Positioning-self	148
ENCOUNTERS: PRESENCE AND CO-PRESENCE	150
Patient-nurse decision-making encounters	150
Low and high presence availability	153
Nurse-nurse decision-making encounters	154
Sharing decision-making space	155
Doctor-nurse decision-making encounters	158
DECISION-MAKING OUTSIDE THE RENAL UNIT LOCALE	161
CHAPTER SUMMARY	162
CHAPTER SIX: SOCIAL STRUCTURES	164
Introduction	164
Rules	165
Rules across time-space	166
Normative rules in decision-making	168
Makers and followers of rules	169
Prescriptive rules of practice	171
Breaking rules	172
Don't forget the phosphate binders	
Always two on the floor	
POLICIES AND PROCEDURES	
Watching you, watching me	
Rules of thumb	
ACCIDENTAL OR INTENTIONAL BREAKING OF RULES: WHICH IS WHICH?	
Dangerous liaisons - deciding how much fluid to remove	
When is an error an error?	
TAILORING PRACTICE RULES	
Work rules and nursing autonomy	
Knowledge rules of practice	
New and old rules	
RULES OF SIGNIFICATION	
Rules of treatment (non)compliance	
Rules of signification extending space	
RULES CONTROLLING THE DIALECTIC OF CONTROL - A SUMMARY	203

RESOURCES - STRUCTURES OF DOMINATION	204
ALLOCATIVE MATERIALS IN THE UNIT	204
Fluffy-duffy 'non-clinical' decisions	205
Just another resource!	207
The new dialyser	208
Who informs whom?	209
When abnormal becomes normal	210
Authoritative resources	211
Official decision-making authority within the organisation	212
Intermediate Nurses - not novices, nor experts	214
Deciding who is in-charge	214
When things go wrong	217
CHAPTER SUMMARY	220
CHAPTER SEVEN: KNOWLEDGEABILITY	221
Introduction	221
The autonomy-dependence continuum of decision-making	222
NURSES' ABILITY AND CAPACITY AS DECISION MAKERS	222
Acquiring decision-making ability	225
Routines: enabling and constraining	227
Informing decisions: ways of knowing	230
Windows of opportunity	230
The 'evidence' informing decision-making	233
EXPERT SYSTEMS AND SPECIALISATION	235
Technology - advances and dilemmas	236
Appearing not to make decisions	239
RISK, UNCERTAINTY AND DECISION-MAKING	240
Day-to-day practice minimising risk	241
Nurses' over and under-confidence as decision makers	243
TRUST AND COLLABORATION	245
EMOTIONS AND DECISION-MAKING	248
Unspoken concerns	252
EVALUATING OUTCOMES	255
Comparing us with them	256
Internal evaluation of decision outcomes	257
THE HIDDEN SIDE OF NURSES' DECISION-MAKING - UNSUNG HEROES	258
QUESTIONING AUTONOMY - CAN OR CAN'T DO?	260
Chapter summary	262

CHAPTER EIGHT: CRITICAL REFLECTIONS	264
Introduction	264
REVISITING THE QUESTIONS	265
REVISITING DECISION-MAKING THEORY	269
Individual decision-making	271
Group decision-making	274
APPLICATION OF STRUCTURATION THEORY – FRIEND AND FOE	276
Ensuring trustworthiness throughout the study	276
Investigator responsiveness	277
Asking the right questions, looking in the right places	278
A Participatory approach	278
Increasing awareness: actors are inherently reflexive	279
Other concerns	281
RECOMMENDATIONS AND POTENTIAL OPPORTUNITIES	282
Findings and recommendations in nursing practice and education	283
FUTURE RESEARCH	291
FINAL REFLECTION	292
REFERENCES	293
APPENDICES	321
APPENDIX 1: RIGOUR IN QUALITATIVE STUDIES	321
APPENDIX 2: PROFILE OF NURSE PARTICIPANTS AND ALLOCATED PSEUDONYM	322
APPENDIX 3: CONSENT TO OBSERVE PRACTICE (PARTICIPANT)	323
APPENDIX 4: CONSENT TO BE INTERVIEWED (INFORMANT)	324
APPENDIX 5: THE KEY PARTICIPANT CONSENT FORM	325
APPENDIX 6: GLOSSARY OF TERMS	326
APPENDIX 7: THE RENAL UNIT'S NURSING ORGANISATIONAL CHART	329
LIST OF TABLES	
TABLE 4.1: Level of nurse participant involvement.	98
TABLE 4.2: Brainstorming potential issues for preliminary research plan	99
TABLE 4.3: Carspecken's 5 stages of critical ethnography aligned with Giddens'	
social and system integration.	100

#### LIST OF FIGURES

FIGURE 3.1: Agency-structure duality	82
FIGURE 8.1: Concepts adopted from Giddens (1984) structuration theory	266
FIGURE 8.2: The agency-structure duality and its affect on the dialectic of control	267
FIGURE 8.3: The interface between the cognitive continuum and the dialectic of control	270
FIGURE 8.4: Opinion-autonomy position	272
FIGURE 8.5: Methodical-autonomy position	273
FIGURE 8.6: Opinion-dependence position	274
FIGURE 8.7: The nurses' collective decision-making position and control	275